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EXPRESS MAIL LABEL NO.: EV 016 318 746 US  
Form PTO-1449

Sheet 1 of 2

Applicant: Philip J. Gentile  
Serial No.: 09/971,970 Att'y Docket No.: 15436.1  
Filing Date: October 4, 2001 Group: 2874  
Confirmation No.: 4553  
For: OPTICAL CHANNEL SELECTION AND EVALUATION SYSTEM

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
<u>Dy</u>	A1. 6,246,497	06/12/01	Bateman, et al.	359	110	03/12/98
<u>Def</u>	A2. 5,721,796	02/24/98	de Barros, et al.	385	37	06/21/96
<u>Def</u>	A3. 5,080,506	01/14/92	Campbell, et al.	385	29	05/20/88

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publ. Date</u>	<u>Country or Patent Office</u>	<u>Sub Class</u>	<u>Class</u>	<u>Trans-lation</u>
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NONE

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner  
Initial\*

NONE

D. Payne

8/21/02

Examiner:

Date Considered:

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### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Form PTO-1449



Sheet 1 of 2

Att'y Docket No.: 15436.1  
Group: 2984

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#### INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

##### U.S. Patent Documents

Examiner Initial*	Patent Number	Issue Date	Name	Class	Sub-Class	Filing Date
DGP	A1. 5,406,260	04/11/95	Cummings et al.	340	568	12/18/92
	A2. 5,491,402	02/13/96	Small	323	282	07/20/93
	A3. 5,878,030	03/02/99	Norris	370	241	06/19/96
	A4. 6,115,468	09/05/00	De Nicolo	379	413	03/26/98
	A5. 6,140,911	10/31/00	Fisher et al.	340	310.01	10/12/99
	A6. 6,141,763	10/31/00	Smith et al.	713	300	09/01/98
✓	A7. 6,218,930	04/17/01	Katzenberg et al.	340	310.01	03/07/00

#### **References Cited by Applicants**

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D. Flynn

8/21/04

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